



2812 IFU ✓

PTO/SB/21 (08-00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/072,931

Filing Date

February 12, 2002

First Named Inventor

Shunpei YAMAZAKI et al.

Group Art Unit

2812

Examiner Name

S. Isaac

Attorney Docket Number

0756-2433

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Declaration and Power of Attorney

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence
Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosures

1.
2.
3.
4.
5.
6.

Remarks

☒ The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Eric J. Robinson, Reg. No. 38,285
Robinson Intellectual Property Law Office, P.C.
PMB 955
21010 Southbank Street
Potomac Falls, VA 20165

Signature

Date

2-29-06

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

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Adele M. Stamper

Signature

Date

2.24.06

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL **FOR FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number	10/072,931
Filing Date	February 12, 2002
First Named Inventor	Shunpei YAMAZAKI et al.
Examiner Name	Stanetta Isaac
Group Art Unit	2812
Attorney Docket No.	0756-2433

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

50-2280

Deposit
Account
NameRobinson Intellectual Property
Law Office

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17 and
credit overpayments

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit Card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001 300	2001 150	Utility filing fee	
1111 500	2111 250	Search fee	
1311 200	2311 275	Examination fee	
Over 100 Sheets/250 for each additional 50			

SUBTOTAL (1) (\$1,000.00)

2. EXTRA CLAIM FEES

Total Claims -85** = X \$50 =

Independent Claims -9** = X \$200 =

Multiple Dependent =

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1202 50	2202 25	Claims in excess of 20	
1201 200	2201 100	Independent claims in excess of 3	
1203 360	2203 180	Multiple dependent claim, if not paid	
1204 200	2204 100	** Reissue independent claims over original patent	
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130			Non-English specification	
1812 2,520	1812 2,520			For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	
1251 120	2251 60			Extension for reply within first month	
1252 450	2252 225			Extension for reply within second month	
1253 1020	2253 510			Extension for reply within third month	
1254 1,590	2254 795			Extension for reply within fourth month	
1255 2,160	2255 1080			Extension for reply within fifth month	
1401 500	2401 250			Notice of Appeal	
1402 500	2402 250			Filing a brief in support of an appeal	
1403 1000	2403 500			Request for oral hearing	
1451 1,510	2451 1,510			Petition to institute a public use proceeding	
1452 500	2452 250-			Petition to revive - unavoidable	
1453 1,500	2453 750			Petition to revive - unintentional	
1501 1,400	2501 700			Utility issue fee (or reissue)	
1502 800	2502 400			Design issue fee	
1503 1100	2503 550			Plant issue fee	
1462 400	1462 400			Petitions, Group I	
1463 200	1463 200			Petition, Group II	
1464 130	1464 130			Petitions, Group III	
1807 50	1807 50			Processing fee under 37 CR 1.17(q)	
1806 180	1806 180			Submission of Information Disclosure Stmt	\$180.00
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	
1809 790	2809 395			Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 790	2810 395			For each additional invention to be examined (37 CFR § 1.29(b))	
1801 790	2801 395			Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$180.00)					

CERTIFICATE OF MAILING

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SUBMITTED BY

Name (Print/Type) Eric J. Robinson

Signature *[Signature]*Registration No.
(Attorney/Agent)

38,285

Complete (if applicable)

Telephone (571) 434-6789

Date

2-24-06



Attorney Docket No. 0756-2433

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No. 10/072,931

Filed: February 12, 2002

For: METHOD OF MANUFACTURING A
SEMICONDUCTOR DEVICE

) Group Art Unit: 2812

) Examiner: S. Isaac

) CERTIFICATE OF MAILING

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being deposited with the United States Postal
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Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450, on 2-24-02.

Adrian M. Stampen

**INFORMATION DISCLOSURE STATEMENT AND CORRECTION TO PREVIOUSLY
SUBMITTED INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

It has come to the attention of Applicant that the PTO 1449 Form accompanying the Information Disclosure Statement filed October 30, 2002 contains an error. The date of JP 3032801 is **04/17/2000** and not 02/18/2000. Therefore, it is respectfully requested that the Examiner line through the erroneous listing on the PTO 1449 filed October 30, 2002, and evidence his consideration of JP 3032801 by initialing the attached PTO 1449 Form.

A check in the amount of \$180 is being submitted to comply with the provisions of 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be

02/28/2006 WASFAW1 00000016 10072931

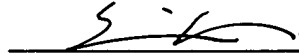
01 FC:1806

180.00 OP

required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280.

A duplicate copy of this sheet is attached.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

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21010 Southbank Street
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known	
Sheet _____ of _____ 27 2006			Application Number	10/072,931
			Filing Date	February 12, 2002
			First Named Inventor	Shunpei YAMAZAKI et al.
			Group Art Unit	2812
			Examiner Name	S. Isaac
			Attorney Docket Number	0756-2433

[illegible][illegible]

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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